# A convenient stereoselective route to novel tetrahydroxyindolizidines 

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#### Abstract

A convenient stereoselective route, for the preparation of novel tetrahydroxyindolizidines, based on syn-hydroxylation reactions of alkenyl pyrrolidines followed by cyclization, is reported. Derivatives of (+)-swainsonine are prepared. © 2002 Elsevier Science Ltd. All rights reserved.


Polyhydroxylated indolizidines belong to an important class of alkaloids that possess a wide range of biological applications, mainly as glycosidase inhibitors, ${ }^{1}$ and can be used as antiviral, antitumor, and immunomodulating agents. ${ }^{2}$ This fact, together with their attractive chemical structures have led to many synthetic approaches. ${ }^{3}$ Since small modifications in their structure may induce significant changes in their biological activity, potency and/or specificity on glycosidase enzymes or receptors, ${ }^{4}$ the preparation of unnatural epimers and other structural analogues of the natural indolizidines has received much attention, ${ }^{5}$ and new methodologies to generate structural analogues are still needed. To the best of our knowledge, of the synthetic analogues of polyhydroxyindolizidines, indolizidinones $\mathbf{8}$ and 9 and indolizidines $\mathbf{1 7}, \mathbf{1 9}$ have not been described. Of these, $\mathbf{8}$ is a precursor of a hydroxy analogue of (+)-swainsonine described by Fleet and co-workers ${ }^{6}$ to be a good inhibitor of naringinase.

In this communication we report the preparation of novel optically pure tetrahydroxyindolizidines via the cyclization of chiral imino- $C$-polyols, the latter being formed by elongation of pyrrolidines-carbaldehydes through Knoevenagel reaction followed by Sharpless asymmetric dihydroxylations, an approach that, as far as we are aware, has not been applied to that field.

Starting from 3,6-(tert-butoxycarbonyl)imino-2,3,6-trideoxy-4,5-O-isopropylidene-L-arabino-hexose ${ }^{7}$ (1) Knoevenagel-Doebner reaction ${ }^{8}$ with hydrogen methyl malonate gave, after 3 h , a mixture of the two trans-

[^0]regioisomers 2 and 3 in 50 and 40\% yield, respectively (Scheme 1).

In the case of compound 2, dihydroxylation reaction with a catalytic amount of osmium tetraoxide ${ }^{9}$ gave a mixture of diols 4 and 5 in $91 \%$ yield and a low stereoselectivity of $1.7: 1^{10}$ (Scheme 2 ), which indicates that the sugar moiety exerts a weak control into the stereoselectivity. Reaction of the mixture 4 and 5 with trifluoroacetic acid followed by heating with NaOMe in MeOH under reflux afforded a mixture of indolizidinones that were separated by chromatography, after acetylation, giving compounds 6 and 7 in 47 and $35 \%$ yield, respectively. Compound $\mathbf{6}$ is the major one indi-


Scheme 1.


Scheme 2. Reaction conditions: (a) $\mathrm{OsO}_{4}$ (cat.), NMO , acetone $-\mathrm{H}_{2} \mathrm{O} 4: 1$, rt, 4 days; (b) 1. TFA aq., $2 \mathrm{~h}, 2 . \mathrm{NaOMe}$, MeOH reflux, $16 \mathrm{~h} ; 3 . \mathrm{Ac}_{2} \mathrm{O}, \mathrm{Py}, \mathrm{DMAP}, \mathrm{rt}, 16 \mathrm{~h}$; (c) $\mathrm{NaOMe}, \mathrm{MeOH}, \mathrm{rt}, 2 \mathrm{~h}$.
cating that the $\mathrm{OsO}_{4}$ attack has taken place with preference for the top face. In order to improve the selectivity of the reaction, double asymmetric reactions ${ }^{11}$ with the commercial reagents AD -mix $\alpha$ and $\mathrm{AD}-$ mix $\beta$ were carried out, although the reaction failed probably due to the high steric hindrance between the catalyst and the bulky protecting group. Treatment of 6 and 7 separately with NaOMe in MeOH rendered compounds $\mathbf{8}$ and $\mathbf{9}$ in quantitative yield. The spectral data of $\mathbf{6}$ and $7^{12}$ were consistent with the configuration assigned. Compound 6 shows large coupling constants $J_{7,8}=$ $J_{8,8 \mathrm{a}}=8.9 \mathrm{~Hz}$ and NOEs between pairs of protons $\mathrm{H} 7 /$ H8a. On the other hand, compound 7, presents a gauche relationship between $\mathrm{H} 7, \mathrm{H} 8$ and H 8 a ( $J_{7,8}=$ $J_{8,8 \mathrm{a}}=4.6 \mathrm{~Hz}$ ) and NOEs between pairs of protons H8/H8a.

Similar results were obtained for compound 3. Dihydroxylation reaction with a catalytic amount of $\mathrm{OsO}_{4}$ and N -methylmorpholine at rt for 24 h , gave a mixture of the two diastereoisomeric diols in quantitative yield and a 1.4:1 ratio. With the idea of having a better diastereoselectivity in the hydroxylation reaction, we envisaged carrying out asymmetric Sharpless dihydroxylation in the $p$-methoxybenzoyl ester 10 (Scheme 3) obtained from 3 after reduction of the ester group with DIBALH ( $60 \%$ ) and reaction with $p$-methoxybenzoyl chloride in the presence of triethylamine and dimethylaminopyridine $(94 \%)$. Compound $\mathbf{1 0}$ is a good substrate for asymmetric dihydroxylation, because it is known ${ }^{13}$ that aromatic moieties attached to allylic alcohols
produce an excellent control in the diastereoselectivity when using the pseudoenantiomeric Cinchona alkaloid ligands for Sharpless reagents. Thus, hydroxylation of compound 10 with AD-mix $\beta^{14}$ gave 11 as major compound ( $60 \%$ yield, d.e. $=91 \%$ ). On the other hand, reaction of $\mathbf{1 0}$ with $\mathrm{AD}-\mathrm{mix} \alpha$ gave $\mathbf{1 2}$ as major compound $(72 \%$ yield, d.e. $=97 \%)$.

Treatment of $\mathbf{1 1}$ and $\mathbf{1 2}$ separately with $\mathrm{NaOMe} / \mathrm{MeOH}$ followed by regioselective tosylation of the primary alcohol afforded compounds 13 and 14 in moderate-togood yield. Boc-deprotection of 13 (TFA aq.) followed by $\mathrm{NH}_{4} \mathrm{OH}$ neutralization afforded tetrahydroxyindolizidine 15 (69\%) and pyrrolizidine 16 ( $28 \%$ ), via ring opening of the terminal epoxide formed from 13. When the same conditions were applied to 14,






(h) $\left\{\begin{array}{r}15 R=H \\ 18 R=A C\end{array}\right.$
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(h) $\left\{\begin{array}{r}17 \mathrm{R}=\mathrm{H} \\ 19 \mathrm{R}=\mathrm{Ac}\end{array}\right.$

Scheme 3. Reaction conditions: (a) DIBALH, DCM, $-15^{\circ} \mathrm{C}$; (b) $4-\mathrm{MeOBzCl}, \mathrm{TEA}, \mathrm{DMAP}$; (c) AD-mix $\beta, 0^{\circ} \mathrm{C}, 48 \mathrm{~h}$; (d) AD-mix $\alpha, 0^{\circ} \mathrm{C}, 24 \mathrm{~h}$; (e) $\mathrm{NaOMe} / \mathrm{MeOH}$, rt, 3 h ; (f) TsCl, Py, $-15^{\circ} \mathrm{C}, 2 \mathrm{~h}$; (g) 1. TFA aq., 2. $\mathrm{NH}_{4} \mathrm{OH}$; (h) $\mathrm{Ac}_{2} \mathrm{O}, \mathrm{Py}$.

Table 1.

| Comp. | H-1 | H-2 | H-6 | H-7 |
| :--- | :---: | :---: | :---: | :---: |
| $\mathbf{1 5}$ | 4.06 | 4.42 | 3.76 | 3.94 |
| $\mathbf{1 8}$ | 5.42 | 5.33 | 4.89 | 5.05 |
| $\mathbf{1 7}$ | 3.99 | 4.31 | 3.50 | 3.40 |
| $\mathbf{1 9}$ | 5.33 | 5.29 | 5.08 | 4.28 |

only indolizidine $\mathbf{1 7}$ was obtained in $94 \%$ yield. The spectroscopic data of $\mathbf{1 5}, \mathbf{1 6}$ and $\mathbf{1 7}$ confirmed the proposed structures. ${ }^{15}$ The absolute configuration of compounds 15 and 17 were based upon NOEs between pair of protons $\mathrm{H} 7 / \mathrm{H} 8-\beta$ for compound 15 and NOEs between $\mathrm{H} 7 / \mathrm{H} 8 \mathrm{a}, \mathrm{H} 7 / \mathrm{H} 8-\alpha$ and $\mathrm{H} 6 / \mathrm{H} 8-\beta$ for compound 17. The indolizidine character of compounds 15 and 17 was demonstrated with the ${ }^{1} \mathrm{H}$ NMR spectra of their corresponding peracetates derivatives 18 and 19 (Table 1). A deshielding of the resonances for $\mathrm{H} 1, \mathrm{H} 2$, H6 and H7, was observed confirming the proposed structures. In the case of compound 16, the pyrrolizidine structure and absolute configuration was based on its MSCI spectrum, where a loss of a hydroxymethyl group were observed and on its ${ }^{1} \mathrm{H}$ NMR spectrum where NOEs between pair of protons H7a/ $\mathrm{H} 7-\alpha, \quad \mathrm{H} 5 / \mathrm{H} 7-\alpha, \quad \mathrm{H} 6 / \mathrm{H} 7-\beta$ and $\mathrm{H} 3-\beta / \mathrm{H}-8$ were observed.

This work presents a new synthetic approach for the construction of polyhydroxyindolizidines based on synhydroxylation of alkenyl pyrrolidines followed by cyclization. High stereoselectivity is obtained when using double asymmetric reactions. The present paper discloses for the first time the preparation of tetrahydroxyderivatives 8, 9, 15, 17.

Biological evaluation of the new molecules will be carried out and be reported in a forthcoming paper.

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14. Typical procedure for asymmetric dihydroxylation: To a solution of alkene $\mathbf{1 0}(0.1 \mathrm{mmol})$ in $\mathrm{Bu}^{t} \mathrm{OH}: \mathrm{H}_{2} \mathrm{O}$ 1:1 (1.2 $\mathrm{mL})$ at $0^{\circ} \mathrm{C}, \mathrm{AD}-\operatorname{mix} \alpha$ or $\mathrm{AD}-\operatorname{mix} \beta(0.14 \mathrm{~g})$ and $\mathrm{MeSO}_{2} \mathrm{NH}_{2}$ ( 0.1 equiv.) was added. The reaction mixture was stirred at $0^{\circ} \mathrm{C}$ for $x$ days (AD-mix $\alpha, x=1$; AD-mix $\beta$, $x=2$ ). Work-up procedure is as described for osmylation.
15. Selected data for 15: ${ }^{1} \mathrm{H}$ NMR ( $300 \mathrm{MHz}, \mathrm{MeOD}, \delta$ ppm, $J \mathrm{~Hz}) \delta 3.94\left(c, 1 \mathrm{H}, J_{7,6}=J_{7,8 \beta}=J_{7,8 \alpha}=3.0\right.$, H-7), 3.76 (m, 1H, H-6), 3.23-3.06 (m, 5H, H-3 $\alpha, \mathrm{H}-3 \beta, \mathrm{H}-5 \alpha$, $\mathrm{H}-5 \beta, \mathrm{H}-8 \mathrm{a}), 2.30$ (ddd, $1 \mathrm{H}, J_{8 \beta, 8 \mathrm{a}}=12.5,{ }^{2} J_{8 \beta, 8 \alpha}=14.5$, $\mathrm{H}-8 \beta), 1.79\left(\mathrm{dt}, 1 \mathrm{H}, J_{8 \alpha, 8 \mathrm{a}}=2.7\right.$, H-8 $)$. Selected data for 16: ${ }^{1} \mathrm{H}$ NMR ( $\left.500 \mathrm{MHz}, \mathrm{MeOD}, \delta \mathrm{ppm}, J \mathrm{~Hz}\right) \delta 4.43$ (dt, $1 \mathrm{H}, J_{7 \mathrm{a}, 7 \alpha}=9.9, J_{7 \mathrm{a}, 7 \mathrm{\beta}}=J_{7 \mathrm{a}, 1}=4.1, \mathrm{H}-7 \mathrm{a}$ ), 4.33 (ddd, $\left.1 \mathrm{H}, J_{2,1}=4.0, J_{2,3 \beta}=6.3, J_{2,3 \alpha}=10.4, \mathrm{H}-2\right), 4.26$ (ddd, $1 \mathrm{H}, \mathrm{H}-6), 4.07(\mathrm{t}, 1 \mathrm{H}, \mathrm{H}-1), 3.92\left(\mathrm{dd}, 1 \mathrm{H}, J_{8,5}=3.6\right.$, $\left.{ }^{2} J_{8,8^{\prime}}=13.0, \mathrm{H}-8\right), 3.81\left(\mathrm{dd}, 1 \mathrm{H}, J_{8^{\prime}, 5}=9.1, \mathrm{H}-8^{\prime}\right), 3.52$
(td, $\left.1 \mathrm{H}, J_{5,6}=8.6, \mathrm{H}-5\right), 3.46\left(\mathrm{dd}, 1 \mathrm{H},{ }^{2} J_{3 \beta, 3 \alpha}=10.8, \mathrm{H}-\right.$ $3 \beta$ ), 3.27 ( $\mathrm{t}, 1 \mathrm{H}, \mathrm{H}-3 \alpha$ ), 2.48 (ddd, $1 \mathrm{H}, J_{7 \beta, 6}=6.2$, $\left.{ }^{2} J_{7 \beta, 7 \alpha}=12.6, \mathrm{H}-7 \beta\right), 1.92\left(\mathrm{dd}, 1 \mathrm{H}, J_{7 \alpha, 6}=8.0, \mathrm{H}-7 \alpha\right)$. Selected data for 17: ${ }^{1} \mathrm{H}$ NMR ( $300 \mathrm{MHz}, \mathrm{MeOD}, \delta$ $\mathrm{ppm}, J \mathrm{~Hz}) \delta 3.50$ (ddd, $1 \mathrm{H}, J_{6,5 \alpha}=10.0, J_{6,7}=8.9$, $\left.J_{6,5 \beta}=4.8, \mathrm{H}-6\right), 3.40\left(\mathrm{ddd}, 1 \mathrm{H}, J_{7,8 \alpha}=4.9, J_{7,8 \beta}=11.0\right.$, $\mathrm{H}-7$ ), 3.17 (dd, $1 \mathrm{H},{ }^{2} J_{5 \beta, 5 \alpha}=10.8, \mathrm{H}-5 \beta$ ), 2.28 (ddd, 1 H , $\left.J_{8 \mathrm{a}, 1}=3.9, J_{8 \mathrm{a}, 8 \beta}=11.7, \mathrm{H}-8 \mathrm{a}\right), 2.04\left(\mathrm{t}, 1 \mathrm{H}, J_{5 \alpha, 6}=10.5\right.$, $\mathrm{H}-5 \alpha$ ), 1.97 (ddd, $1 \mathrm{H}, J_{8 \alpha, 8 \mathrm{a}}=2.6,{ }^{2} J_{8 \alpha, 8 \beta}=13.1, \mathrm{H}-8 \alpha$ ), 1.68 (ddd, $1 \mathrm{H}, J_{8 \beta, 8 \mathrm{a}}=1.2, \mathrm{H}-8 \beta$ ).

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